JC03 Rec'd PCT/PTO 01 JUN 2004

PTO-1390 (Rev. 02-2005)
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TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

ATTORNEY'S DOCKET NUMBER NL 021225

CON	CERNING A SUBMISSIO	U.S. APPLICATION NO. (IMPROVA, 1982 77 SER 1.5)		
	IONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED	
	003/050019 NVENTION	November 6, 2003	December 6, 2002	
		ORMATION ON A RECORDABLE OPTICAL REC	ORD CARRIER USING OVAL SPOT PROFILE	
	T(S) FOR DO/EO/US	Pineld- MEINIDEDS		
Hubert Cecile François MARTENS; Erwin Rinaldo MEINDERS Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
		nceming a submission under 35 U.S.C. 371		
2. T	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.			
3. П ті	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items: (5), (6), (9) and (21) indicated below.			
4. 🔲 T	he US has been elected (Article 31).			
5.	A copy of the International Application	as filed (35 U.S.C. 371(c)(2))		
	a. is attached hereto (required	only if not communicated by the Internation	al Bureau).	
	b. has been communicated by			
	c. Li is not required, as the application was filed in the United States Receiving Office (RO/US).			
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto.			
		ed under 35 U.S.C. 154(d)(4).	·	
7. V		national Application under PCT Article 19 (35 S C 371(c)(2))	
		ed only if not communicated by the Internat		
	b. have been communicated to		,	
	c. have not been made; howe	ver, the time limit for making such amendm	nents has NOT expired.	
_	d. have not been made and w	ill not be made.		
8.	An English language translation of the	amendments to the claims under PCT Art	icle 19 (35 U.S.C. 371(c)(3)).	
9. 🔽	An oath or declaration of the inventor(s	s) (35 U.S.C. 371(c)(4)).		
10.	An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	annexes of the International Preliminary E.	xamination Report under PCT	
Items 1	1 to 20 below concern document(s)	or Information Included:	, , ,	
11.	An Information Disclosure Statement u	ınder 37 CFR 1.97 and 1.98.		
12. 🗸	An assignment document for recording	g. A separate cover sheet in compliance wit	th 37 CFR 3.28 and 3.31 is included.	
13. 📙	A preliminary amendment.			
	An Application Data Sheet under 37 C	FR 1.76.		
	A substitute specification.			
	A power of attorney and/or change of a			
		ence listing in accordance with PCT Rule 1		
	•	ational Application under 35 U.S.C. 154(d)(
		e translation of the international application til Certificate; PTO/SB/80; PTO/SB/96; C	under 35 U.S.C. 154(d)(4). harge Authorization; Receipt Confirmation Postcard	

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/IB2003/050019 NL 021225 The following fees have been submitted **CALCULATIONS** PTO USE ONLY 21. 🔽 Basic national fee......\$300 300.00 22. 🔽 If International preliminary examination report prepared by USPTO and all claims satisfy provisions of \$ 200.00 All other situations.....\$200 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100 International Search Report prepared and provided to the Office.......\$400 All other situations.....\$500 \$ 400.00 TOTAL OF 21, 22 and 23 = \$ 900.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) - 100 = /50 = x \$250 \$ Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). \$ **CLAIMS** NUMBER FILED NUMBER EXTRA **RATE** \$ Total claims 10 - 20 = x \$50 \$ 0.00 Independent claims - 3 = 2 x \$200 \$ 0.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 TOTAL OF ABOVE CALCULATIONS = \$ 0.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$ 900.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ 900.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property 40.00 TOTAL FEES ENCLOSED = \$ 940.00 Amount to be refunded: Amount to be \$ 940.00 charged: A check in the amount of \$ to cover the above fees is enclosed. ь. 🗹 Please charge my Deposit Account No. 14-1270 in the amount of \$940.00___ to cover the above fees. A duplicate copy of this sheet is enclosed. c. 🔽 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1270 ... A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: **Corporate Patent Counsel** Edward W. Goodman Philips Electronics North America Corporation NAME P.O. Box 3001 28.613 Briarcliff Manor, NY 10510 REGISTRATION NUMBER

CERTIFICATE OF EXPRESS MAILING				
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I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, PO Box, 1450, Alexandria, VA 22313-1450				
Jeanne Rusciano Typed Name	Signature Kusaana			
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